

Atty. Dkt. No. 087147-0468

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Shuichi FURUYA et al..  
Title: NITROGEN-CONTAINING HETEROCYCLIC  
COMPOUNDS, THEIR PRODUCTION AND USE  
Application No.: 10/042,229  
Filing Date: January 11, 2002  
Examiner: V. Balasubramanian  
Art Unit: 1624

**SECOND PRELIMINARY AMENDMENT AND  
RESPONSE TO RESTRICTION REQUIREMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, applicants respectfully request entry of the following amendments to the claims and the specification.

**IN THE CLAIMS:**

Cancel claims 3 and 5, and substitute for claim 1 the following amended claim. The changes made to the amended claim are explicitly shown in the attached "Marked-Up Version of the Amended Claim."

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Re: S. FURUYA et al.  
Serial No. 10/042,229  
Filed: January 11, 2002

Art Unit: 1624  
Examiner: V. Balasubramanian

For: NITROGEN-CONTAINING HETEROCYCLIC COMPOUNDS, THEIR  
PRODUCTION AND USE

I hereby certify that the following paper(s) and or fee along with any attachments referred to or identified as being attached or enclosed are being facsimile transmitted to the U.S. Patent and Trademark Office under 37 C.F.R. § 1.6(d) on the date as shown below.

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